

Docket No. 260235US0X PCT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Adolf KUEHNLE, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/EP03/04586

GAU:

FILED: Herewith

EXAMINER:

FOR: NANOFILLER, PRODUCTION, AND USE

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

## REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

260235US0X PCT

SERIAL NO.

New U.S. PCT Application  
Based on PCT/EP03/04586

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Adolf KUEHNLE, et al.

FILING DATE

Herewith

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AF						
	AF						
	AG						
	AH						
	AI						
	AJ						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AK	02 100867	12/19/02	WO		NO
	AL	03 052014	05/28/03	WO (with English abstract)		NO
	AM	01 46295	06/28/01	WO		NO
	AN	01 10871	02/15/01	WO		NO
	AO	101 56 619	05/28/03	DE		NO
	AP					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AQ	HADDAD, Timothy S. et al. "Hybrid, Norbornenyl-Based Polyhedral Oligosilsesquioxane (Poss) Polymers", American Chemical Society. Vol. 38, no.1 pages 127-128, XP002939122, ISSN: 0032-3934 1997	
	AR	CHOI, Jiwon et al. "Phenylsilsesquioxanes and their derivatives as nanosized building blocks for nanocomposites", CAPLUS, XP002220203, English abstract only 2001	
	AS	LAINE, R.M. et al. "Functionalized phenyl silsesquioxanes as components in novel nanocomposite materials", CAPLUS, XP002220202, English abstract only 2001	
	AT	TAMAKI, Ryo et al. "Octa(aminophenyl)silsesquioxane as a new platform for nanocomposites", CAPLUS, XP002220201, English abstract only 2001	
	AU	TAMAKI, Ryo et al. "Octa(aminophenyl)silsesquioxane as a nanoconstruction site", Journal of the American Chemical Society, vol.123, pages 12416-12417, XP002220200, ISSN: 0002-7863 2001	
	AV	ZHANG, Chunxin et al. "Hydrosilylation of Allyl Alcohol with [HSiMe2OSi0.5]8: Octa(3-hydroxypropyldimethylsiloxy)octasil sesquioxane and its Octamethacrylate derivative as potential precursors to hybrid nanocomposites" Journal of the American Chemical Society, vol. 122, no. 29, pages 6979-6988, XP002254242 2000	
	AW		<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.